

Audit / Service Area	Status	Assurance level	Number of Recommendations			
			High	Medium	Low	VFM
<b>EMT</b>						
Treasury Management	Completed	Reasonable	0	2	0	1
Main Accounting System inc bank reconciliation	Completed	Reasonable	0	6	1	2
Governance	Completed	Reasonable	1	2	0	1
<b>HOUSING &amp; CUSTOMER SERVICES</b>						
Business Rates	Completed	Reasonable	0	5	2	1
Council Tax	Completed	Reasonable	0	6	1	1
Accounts Receivable	Completed	Reasonable	0	7	1	0
Benefits & Fraud Investigation	Completed	Reasonable	1	4	1	0
Disability Facility Grants / Home Improvements	Completed	Reasonable	3	3	0	0
Income	Completed	Limited	0	13	3	1
Landlord services (rents)	Completed	Limited	3	3	4	0
<b>PUBLIC HEALTH &amp; COMMUNITY SAFETY</b>						
Community Alarm / Lifelines (from 13/14)	Completed	Reasonable	0	4	2	6
CCTV	Completed	Reasonable	0	4	6	4
<b>IT SERVICES</b>						
IT Audit	Completed	Reasonable	0	To Be Agreed		
<b>HUMAN RESOURCES</b>						
Payroll (inc NFNPA testing)	Draft					
<b>PLANNING &amp; TRANSPORTATION</b>						
CIL (brought forward from 2013/14)	Completed	Substantial	0	1	0	0
<b>ENVIRONMENT SERVICES</b>						
Domestic Refuse, Commercial Waste and Recycling	Completed	Reasonable	0	11	1	12
Parking	Completed	Limited	3	3	3	5
Keyhaven River, Moorings and Dinghy Park	Completed	Limited	5	8	1	4
<b>PROPERTY SERVICES</b>						
Accounts Payable	Completed	Reasonable	0	6	4	3
Procurement/Contract Management	Completed	Reasonable	1	0	1	0
Asset Management	Completed	Limited	To Be Agreed			
<b>LEISURE &amp; EMPLOYMENT</b>						
Tourism	Completed	Reasonable	0	2	0	0
Economic Development & Partnerships	Completed	Reasonable	1	3	0	0
Health & Leisure Centres	Completed	Reasonable	1	16	1	10
<b>OTHER</b>						
Subsidy Testing	Completed	See External Auditors Grant Certification				
Leader Programme (on 3rd party behalf)	Completed	Completed with Leader team				
Safeguarding	Completed	No public report issued. Time used for DHR as agreed with CEO. Recommendations made				
Contracts and Procurement	Completed	Supported the Council in the preparation of new policy, templates and training sessions				
Affordable Housing	Completed	Support Corporate Team. Provided recommendations on transparency and decision making process				
Building Works - Reactive	Completed	This is linked to a project which is ongoing into 2014/15.				

During Quarter one, both Town Council audits were also completed. This equated to 11 days of work for a fee. During Quarter two and three, audit time also provided to NFNPA. Result of these audits are not provided to NFDC.

#### **Approved amendments to the audit plan during the year**

##### **Removed**

Health and Safety, included for 15/16

Environmental Health - Pollution as received external audit

Elections. Time provided to support the preparation of May 15 election. Full audit planned for 15/16

Audit Work	Comment
<b>Advice and Liaison</b>	
Ernst & Young (External Audit)	Ongoing liaison throughout the year
Authorised Signatories	Ongoing - providing advice for managers
Contracts Advice	Ongoing - providing advice for managers.
Waivers	Ongoing - providing advice for managers and EMT. Report for 2014/15 presented to Audit Committee in June 2015.
Financial Regulations	Ongoing - providing advice for managers.
<b>Projects/Policy Review</b>	
Financial Regulations	Work in progress - recommendation in AGS.
Corporate Projects (inc Housing and Reactive Works)	Part of Housing review team. Project for purchase of contractor system (Building Works)
<b>Fraud/ Investigation Related</b>	
Investigations	Internal Audit will investigate all reported cases - no serious cases to report at this time
Annual Fraud Survey	Completed
Banking - Irregularities and general H&L queries	Nothing to report to Committee as a significant concern at this time.
Fraud Training	New e-learning module in place and rolled out
Policy review	Completed identified need for a cyber security policy
NFI overview	Submitted date. Also involved in free pilots for housing tenancy waiting list and council tax reduction
<b>3 Party Contracts</b>	
Town Council - Audit 1	Completed
Town Council - Audit 2	Completed
NFNPA	Completed
Dorset partners	Completed
<b>Other</b>	
Audit Management (inc Performance Management, Planning, Supervision/signing off of audits, Meeting and Committee attendance, annual reporting, liaison with external audit etc) and Team training and development, office routine, meetings and Contingency	